

TFA

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 (020001)-07-LAV

In Re Application Of **Samantha K. Holme et al.**

Application No. <b>10/719,602</b>	Filing Date <b>November 21, 2003</b>	Examiner <b>Michel Graffeo</b>	Customer No. <b>N/A</b>	Group Art Unit <b>1614</b>	Confirmation No. <b>3118</b>
--------------------------------------	---	-----------------------------------	----------------------------	-------------------------------	---------------------------------

Title:

**COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES AND METHODS OF MAKING AND USING THE SAME**

Address to:  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

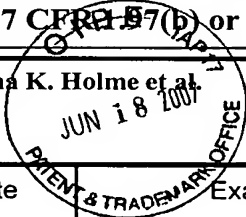
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
(020001)-07-LAV

In Re Application of: **Samantha K. Holme et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/719,602	November 21, 2003	Michel Graffeo	N/A	1614	3118

Title:

**COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES AND METHODS OF MAKING AND USING THE SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-0510 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Allen R. Kipnes, Esq.  
Registration No. 28,433

WATOV & KIPNES, P.C.  
P.O. Box 247  
Princeton Junction, New Jersey 08550  
(609) 243-0330  
(609) 275-1010 - Facsimile

CC:

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
June 14, 2007 (Date)
 Signature of Person Mailing Correspondence
Jill S. Garretson Typed or Printed Name of Person Mailing Certificate

Dated: June 14, 2007

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**(020001)-07-LAV**

In Re Application Of: **Samantha K. Holme et al.**

Application No. <b>10/719,602</b>	Filing Date <b>November 21, 2003</b>	Examiner <b>Michel Graffeo</b>	Customer No. <b>N/A</b>	Group Art Unit <b>1614</b>	Confirmation No. <b>3118</b>
--------------------------------------	---	-----------------------------------	----------------------------	-------------------------------	---------------------------------

Invention:

**COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES AND METHODS OF  
MAKING AND USING THE SAME**

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

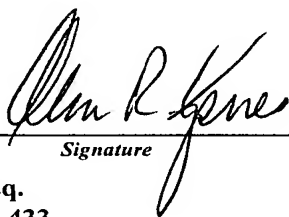
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

**Allen R. Kipnes, Esq.  
Registration No. 28,433**

**WATOV & KIPNES, P.C.  
P.O. Box 247  
Princeton Junction, New Jersey 08550  
(609) 243-0330  
(609) 275-1010 - Facsimile**

Dated: **June 14, 2007**

**Certificate of Mailing by First Class Mail**

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**June 14, 2007**

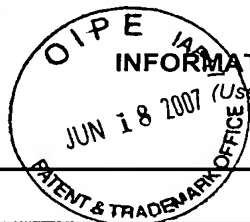
(Date)

  
Signature of Person Mailing Correspondence

**Jill S. Garretson**

Typed or Printed Name of Person Mailing Correspondence

CC:

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

(020001)-07-LAV

APPLICATION NO.

10/719.602

APPLICANT(S)

Samantha K. Holme et al.

FILING DATE

November 21, 2003

GROUP ART UNIT

1614

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,599,527	02/04/1997	Donald P. Hsu et al.			

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01/68045 A1	20.09.2001	PCT			✓	
	JP 9507481	19.12.1994	Japan			✓	
	WO 03/024415 A2	27.03.2003	PCT				
	JP 2002526394	04.10.1999	Japan			✓	
	JP 5039213	19.02.1993	Japan			✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

ATTY DOCKET NO.

(020001)-07-LAV

APPLICATION NO.

10/719,602

Samantha K. Holme et al.

FILING

November 21, 2003

GROUP ART

1614

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2000281548	10.10.2000	Japan				✓
	JP 2003002815	08.01.2003	Japan			✓	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.